

# Atomic Structural Evolution during the Reduction of $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> Nanowires

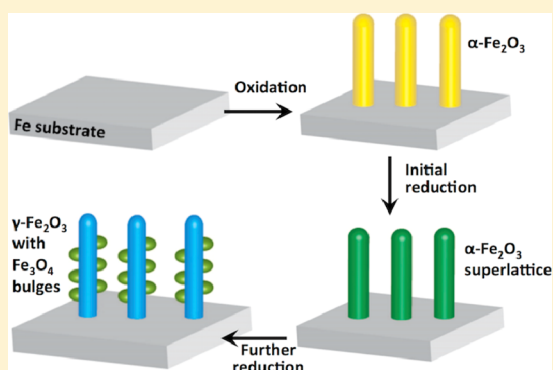
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**ABSTRACT:** The atomic-scale reduction mechanism of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowires by H<sub>2</sub> was followed using transmission electron microscopy to reveal the evolution of atomic structures and the associated transformation pathways for different iron oxides. The reduction commences with the generation of oxygen vacancies that order onto every 10th (30 $\bar{3}0$ ) plane. This vacancy ordering is followed by an allotropic transformation of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>  $\rightarrow$   $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> along with the formation of Fe<sub>3</sub>O<sub>4</sub> nanoparticles on the surface of the  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanowire by a topotactic transformation process, which shows 3D correspondence between the structures of the product and its host. These observations demonstrate that the partial reduction of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowires results in the formation of a unique hierarchical structure of hybrid oxides consisting of the parent oxide phase,  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>, as the one-dimensional wire and the Fe<sub>3</sub>O<sub>4</sub> in the form of nanoparticles decorated on the parent oxide skeleton. We show that the proposed mechanism is consistent with previously published and our density functional theory results on the thermodynamics of surface termination and oxygen vacancy formation in  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>. Compared to previous reports of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> directly transformed to Fe<sub>3</sub>O<sub>4</sub>, our work provides a more in-depth understanding with substeps of reduction, i.e., the whole reduction process follows:  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>  $\rightarrow$   $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> superlattice  $\rightarrow$   $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> + Fe<sub>3</sub>O<sub>4</sub>  $\rightarrow$  Fe<sub>3</sub>O<sub>4</sub>.



## 1. INTRODUCTION

Reduction treatment of metal oxides has been widely used to yield active materials for a large variety of applications ranging from catalysis to electronic devices.<sup>1–5</sup> For instance, metal oxides are used in heterogeneous catalysis as active catalysts, catalyst supports, and promoters. Reduced oxides are also used in electronic devices, magnetic memory, and as active/passive solar energy conversion materials.<sup>6–8</sup> The chemical and physical properties of metal oxides are crucially affected by their stoichiometry, phase, microstructure, atomic termination, and defects, all of which can be modified by a choice of reduction treatment. A fundamental understanding of the microscopic mechanism of the reduction of metal oxides is indispensable for obtaining controllable functionalities of the oxide.

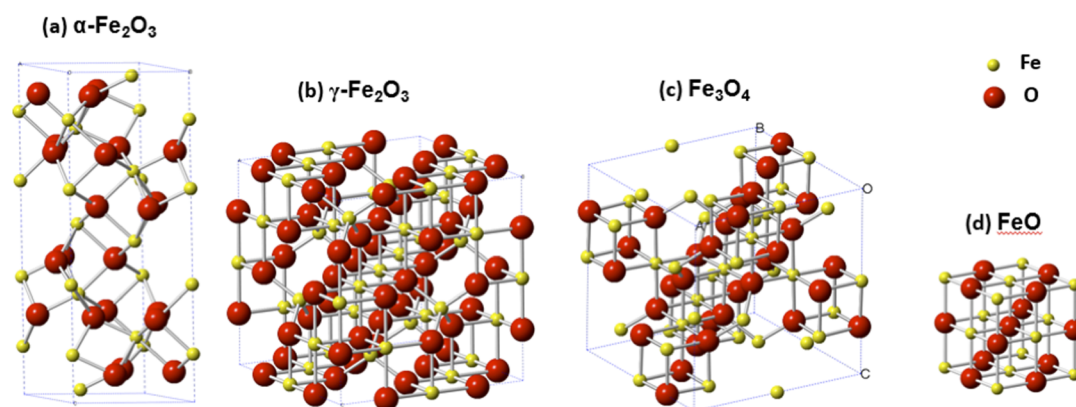
Iron oxide, in particular, has many different phases, with Fe in variable oxidation states, depending upon the reduction process. The iron oxides include hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>), magnetite (Fe<sub>3</sub>O<sub>4</sub>), and wustite (FeO). Fe<sub>2</sub>O<sub>3</sub> exhibits various polymorphs including  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> (rhombohedral),  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> (cubic),  $\beta$ -Fe<sub>2</sub>O<sub>3</sub> (cubic), and  $\epsilon$ -Fe<sub>2</sub>O<sub>3</sub> (orthorhombic), among which  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> is the most thermodynamically stable phase (Figure 1a). Both  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> (space group: *P*4<sub>1</sub>32, *a* = *b* = *c* = 0.8347 nm) and

Fe<sub>3</sub>O<sub>4</sub> (space group: *Fd* $\bar{3}m$ , *a* = *b* = *c* = 0.8394 nm) share the cubic structure with close-packed oxygen atoms along the  $\langle 111 \rangle$  direction but vary in the oxidation state for Fe (Figure 1b,c).<sup>9,10</sup> Magnetite contains both Fe<sup>2+</sup> and Fe<sup>3+</sup> ions in the crystal lattice and is sometimes formulated as FeO·Fe<sub>2</sub>O<sub>3</sub>. In the crystal structure of Fe<sub>3</sub>O<sub>4</sub>, half of the Fe<sup>3+</sup> ions are located in the tetrahedral interstitial sites, and the other half of the Fe<sup>3+</sup> ions and all the Fe<sup>2+</sup> ions occupy the octahedral sites (Figure 1c).<sup>11</sup> In  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>, due to the absence of Fe<sup>2+</sup>, some of the Fe positions are left unoccupied as random vacancies (Figure 1b). FeO adopts the cubic, rock-salt structure, where Fe<sup>2+</sup> ions are octahedrally coordinated by O<sup>2-</sup> ions (Figure 1d). The applications for iron oxides intimately depend on their ability to redox (reduction and oxidation) cycle between the +2 and +3 oxidation states. However, the variable oxidation states of iron lead to a fairly complicated phase diagram of iron oxides with several easily interchangeable phases. Understanding the process of Fe<sub>2</sub>O<sub>3</sub> reduction has therefore been a longstanding challenge.

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**Figure 1.** Crystallographic unit cell of different iron oxides: (a)  $\alpha$ - $\text{Fe}_2\text{O}_3$ , (b)  $\gamma$ - $\text{Fe}_2\text{O}_3$ , (c)  $\text{Fe}_3\text{O}_4$ , and (d)  $\text{FeO}$ .

The reduction mechanism of iron oxides by hydrogen has been studied by macroscopically averaging approaches such as temperature-programmed reduction (TPR)<sup>12</sup> and X-ray diffraction.<sup>13</sup> Based on the TPR studies, two mechanisms have been proposed: a three-step mechanism,  $\text{Fe}_2\text{O}_3 \rightarrow \text{Fe}_3\text{O}_4 \rightarrow \text{FeO} \rightarrow \text{Fe}$ ; and a two-step mechanism,  $\text{Fe}_2\text{O}_3 \rightarrow \text{Fe}_3\text{O}_4 \rightarrow \text{Fe}$ . While the reduction of a metal oxide usually commences via the nucleation and growth of a lower oxide as lattice oxygen escapes the parent phase, the microscopic process leading to the onset of the oxide reduction and the associated atomic structure evolution cannot be addressed using ensemble-averaging macroscopic approaches. Therefore, the aforementioned reduction pathways of  $\text{Fe}_2\text{O}_3$  do not describe any polymorphic transition which might occur. In addition, the materials undergo crystallographic structural transformations and a full description of the process requires both the chemical composition and crystallographic information. Moreover, there is a lack of direct observation and careful study of the kinetic pathways of the oxide reduction at the atomic scale.

Nanostructured iron oxides, such as nanoparticles, nanowires, nanoblades, and nanorods, have drawn extensive research focus due to their great potential for broad applications, ranging from catalysis to drug delivery.<sup>14,15</sup> Previous reports about the reduction mechanism have primarily relied on observations of bulk materials. At the nanoscale, due to the size effect, different reduction behavior compared with the bulk might be anticipated. The nanowire is thus an ideal candidate, comprising a highly orientated skeleton, for studying how oxide reduction-induced phase transformations take place in one-dimensional systems.

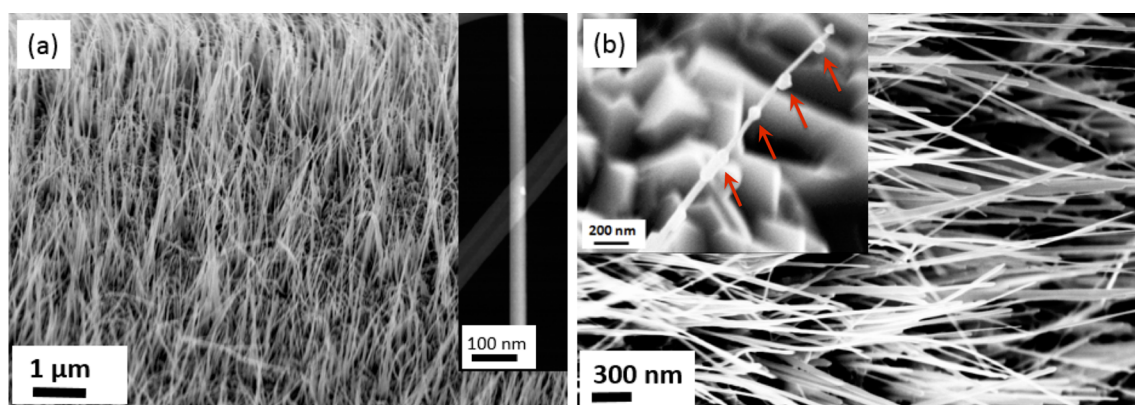
Herein, we report the reaction pathway of  $\text{H}_2$  induced reduction of  $\alpha$ - $\text{Fe}_2\text{O}_3$  nanowires, using transmission electron microscopy (TEM) to reveal the atomic structure evolution in the oxide. We choose  $\alpha$ - $\text{Fe}_2\text{O}_3$  because it has the most stable phase with highest oxidation state of Fe and can be employed as a model system to study the reaction pathway of oxide reduction. We identify the atomic process leading to crystal structure changes as the oxide is reduced from a higher oxidation state into lower ones. Particularly, we use  $\alpha$ - $\text{Fe}_2\text{O}_3$  nanowires because the one-dimensional morphology is a highly anisotropic structure, making it simple to understand how reduction-induced physical transformations take place. We find the proposed mechanism to be consistent with previously published and our density functional theory (DFT) results on the thermodynamics of surface termination and oxygen vacancy formation in  $\alpha$ - $\text{Fe}_2\text{O}_3$ .

## 2. EXPERIMENTAL AND COMPUTATIONAL DETAILS

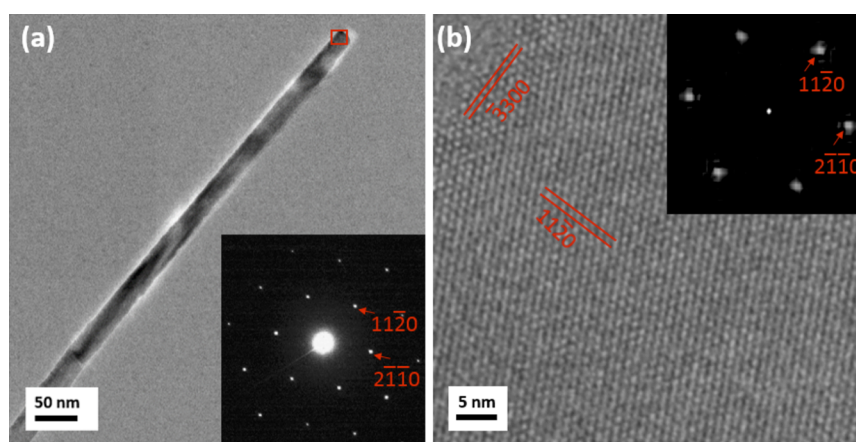
The  $\alpha$ - $\text{Fe}_2\text{O}_3$  samples used for the reduction experiments were prepared using the thermal oxidation of polycrystalline Fe foils (99.99% purity). The high-purity Fe foils were first thoroughly rinsed in deionized water followed by ultrasonication in acetone for 5 min. The cleaned iron foils were then placed on a substrate heater in a vacuum chamber and the sample temperature was monitored by a K-type thermocouple in contact with the substrate heater. The chamber was pumped to vacuum ( $\approx 3 \times 10^{-4}$  Pa) and then filled with oxygen gas at a pressure of  $\approx 270$  Pa (99.999% purity). The chamber was then sealed and the Fe sample was heated to the desired temperature (600 °C) at  $\approx 20$  °C  $\text{min}^{-1}$  in oxygen for 60 min. This yielded well-aligned, crystalline  $\alpha$ - $\text{Fe}_2\text{O}_3$  nanowires perpendicular to the Fe substrate.<sup>16–19</sup> After the oxidation, the oxygen was pumped out, and the chamber was evacuated to  $\sim 3 \times 10^{-4}$  Pa again. Subsequently, pure hydrogen gas (99.999% purity) at a pressure of  $\sim 270$  Pa was admitted to the vacuum chamber. Meanwhile the temperature is reduced to 500 °C. After a reduction of 60 min at 500 °C in  $\text{H}_2$ , the Fe sample was cooled down in the same hydrogen atmosphere to room temperature at a rate of  $\sim 10$  °C  $\text{min}^{-1}$ .

The morphologies of the  $\alpha$ - $\text{Fe}_2\text{O}_3$  nanowires both before and after the  $\text{H}_2$  reduction were examined using a field-emission scanning electron microscope (FEG-SEM). The atomic structures of the reduced nanowires were further analyzed using TEM operated at 200 kV. Electron energy-loss spectra (EELS), obtained with a monochromated electron source in the scanning transmission electron microscope (STEM), collected from reduced  $\alpha$ - $\text{Fe}_2\text{O}_3$  nanowires were compared with those collected from four standard iron oxide samples ( $\alpha$ - $\text{Fe}_2\text{O}_3$ ,  $\gamma$ - $\text{Fe}_2\text{O}_3$ ,  $\text{Fe}_3\text{O}_4$ , and  $\text{FeO}$ ) under identical instrumental conditions, to measure the oxidation state of Fe at different reduction stages.

DFT calculations were performed with VASP<sup>20,21</sup> using the projector-augmented wave method<sup>22</sup> and the gradient-corrected Perdew, Burke and Ernzerhof (PBE)<sup>23</sup> exchange-correlation functional. Strong correlation effects were treated within the DFT+U approach<sup>23,24</sup> with a  $U = 4$  eV value found to be suitable for  $\text{Fe}_2\text{O}_3$  in previous studies.<sup>25,26</sup> For direct comparison, we adopted the default settings from the work by Souvi et al.<sup>26</sup> for the energy cutoff (600 eV), k-point meshes ( $7 \times 7 \times 3$  in bulk and  $7 \times 7 \times 1$  in slab structures), and the size of the slab cell (a 3 nm  $c$ -axis). Atomic forces in local conjugate-gradient optimizations were reduced below 0.3 eV/nm, while the lattice constants were kept fixed at the experimental values



**Figure 2.** (a) SEM images of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowires formed from the oxidation of an Fe substrate; the inset is a STEM image of a single  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowire revealing a smooth surface and uniform diameter. (b) SEM image of nanowires after reduction at 500 °C and 270 Pa of H<sub>2</sub> pressure for 50 min; the inset is a higher magnification SEM image showing the formation of bulges on the surface of the nanowires.



**Figure 3.** (a) TEM image of an  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowire before the reduction treatment, the inset is a nanodiffraction pattern taken from the region indicated by the red box, revealing the [0001] zone axis of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>; (b) Representative HRTEM image obtained from the  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowire in the area marked by the red box shown in panel a, revealing the perfect lattice structure in the unreduced nanowires. The inset is a diffractogram (fast Fourier transform) of the HRTEM image. The surface plane of the nanowire is ( $\bar{3}$ 300).

of  $a = 0.5035$  nm (for bulk and slab structures) and  $c = 1.3747$  nm (for bulk). We have reproduced and used the most stable antiferromagnetic arrangement on Fe<sup>3+</sup> ions in bulk and slab calculations. Standard molecular dynamics (MD) runs were performed for slabs with 1 fs steps, a 400 eV energy cutoff, and a  $5 \times 5 \times 1$  k-mesh.

### 3. RESULTS AND DISCUSSION

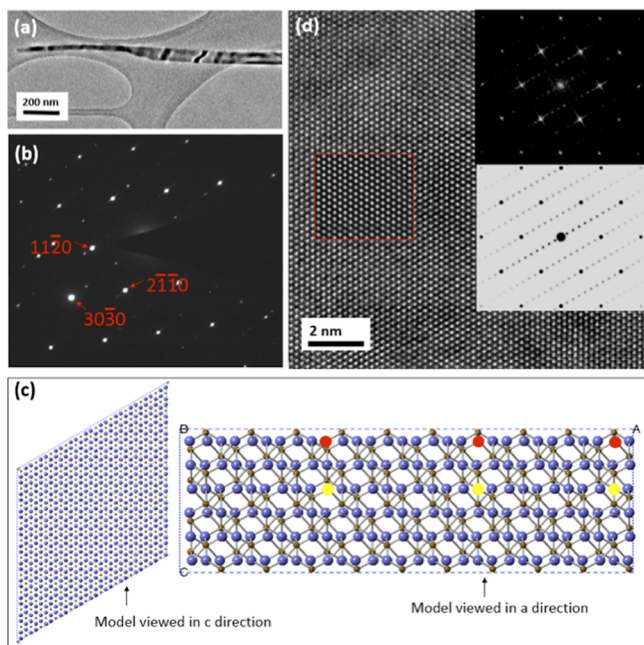
Figure 2 shows the morphologies of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowires before and after the reduction. Figure 2a is a representative FEG-SEM image of the  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowires as-prepared by the oxidation of a Fe foil. The densely distributed  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowires are approximately perpendicular to the iron substrate and have lengths up to 5  $\mu$ m with a relatively uniform diameter of about 50 nm. The inset in Figure 2a is a STEM image of a single  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowire, showing that the nanowires have smooth surfaces. Although there is no significant change in the density and length of the nanowires after exposure to H<sub>2</sub> at 500 °C for 60 min, some nanowires developed a sawtooth surface morphology after the reduction reaction (Figure 2b). As revealed by the higher magnification FEG-SEM image (inset in Figure 2b), the surface of these sawtoothed nanowires is decorated with nanoparticles that are apparently formed during the H<sub>2</sub> reduction process. It should be also noted that a large

fraction of the nanowires ( $\approx 60\%$ , based on SEM image analysis of the reduced nanowires) still retain a smooth surface morphology, i.e., without the formation of nanoparticles on the parent nanowires. Among these nanowires with smooth surface morphology, some of them are still unreduced  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>, indicating that the reduction is not uniform. This may relate to the stochastic population of defects in some nanowires, making them more reactive and easier to reduce compared with those without or with less defects.

Figure 3a shows a TEM image of the typical morphology of a single  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowire before the reduction. Inset is a nanodiffraction pattern obtained from the nanowire, which can be indexed as  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> [0001]. Figure 3b is a representative high-resolution TEM (HRTEM) image from the  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanowire shown in Figure 3a and the upper-right inset is a diffractogram of the HRTEM image, which is consistent with the nanodiffraction pattern shown in Figure 3a. The surface plane is ( $\bar{3}$ 300), which is perpendicular to the (11 $\bar{2}$ 0). Both the diffraction pattern and high-resolution images confirm that the nanowires before reduction are  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>.

Nanowires after reduction have two different morphologies: smooth and sawtoothed as shown in Figure 2b. The reduced nanowires with smooth morphology show minimal variation in diameter along the axial direction, i.e., no apparent bulge

formation on the surface (Figure 4a). However, superlattice diffraction spots can be seen in the  $\langle 30\bar{3}0 \rangle$  direction of the  $\alpha$ -



**Figure 4.** (a) TEM image showing the morphology of a reduced nanowire. (b) Nanodiffraction pattern of the nanowire along the  $\alpha$ - $\text{Fe}_2\text{O}_3$  [0001] zone axis with extra spots along the  $[30\bar{3}0]$  direction. (c) Superstructure model, viewed in the  $c$ -direction and  $a$ -direction, built using  $10 \times 10 \times 1$  unit cell of the original  $\alpha$ - $\text{Fe}_2\text{O}_3$  with oxygen vacancies marked by red or yellow circles. (d) HRTEM image of the  $\alpha$ - $\text{Fe}_2\text{O}_3$  nanowire along the [0001] direction; the upper inset shows the diffractogram of the HRTEM image and the lower inset shows a simulated diffractogram based on the structure model shown in (c). The area within the red square is the simulated HRTEM image with a thickness of 18 nm and defocus value of  $-20$  nm. The simulated HRTEM images are identical whether the lattice planes with the ordered oxygen vacancies are removed or placed in the superstructure, i.e., the vacancy ordering is not revealed in the simulated or the HRTEM images when viewed along the  $c$ -direction but it is apparent from the diffraction pattern and diffractogram.

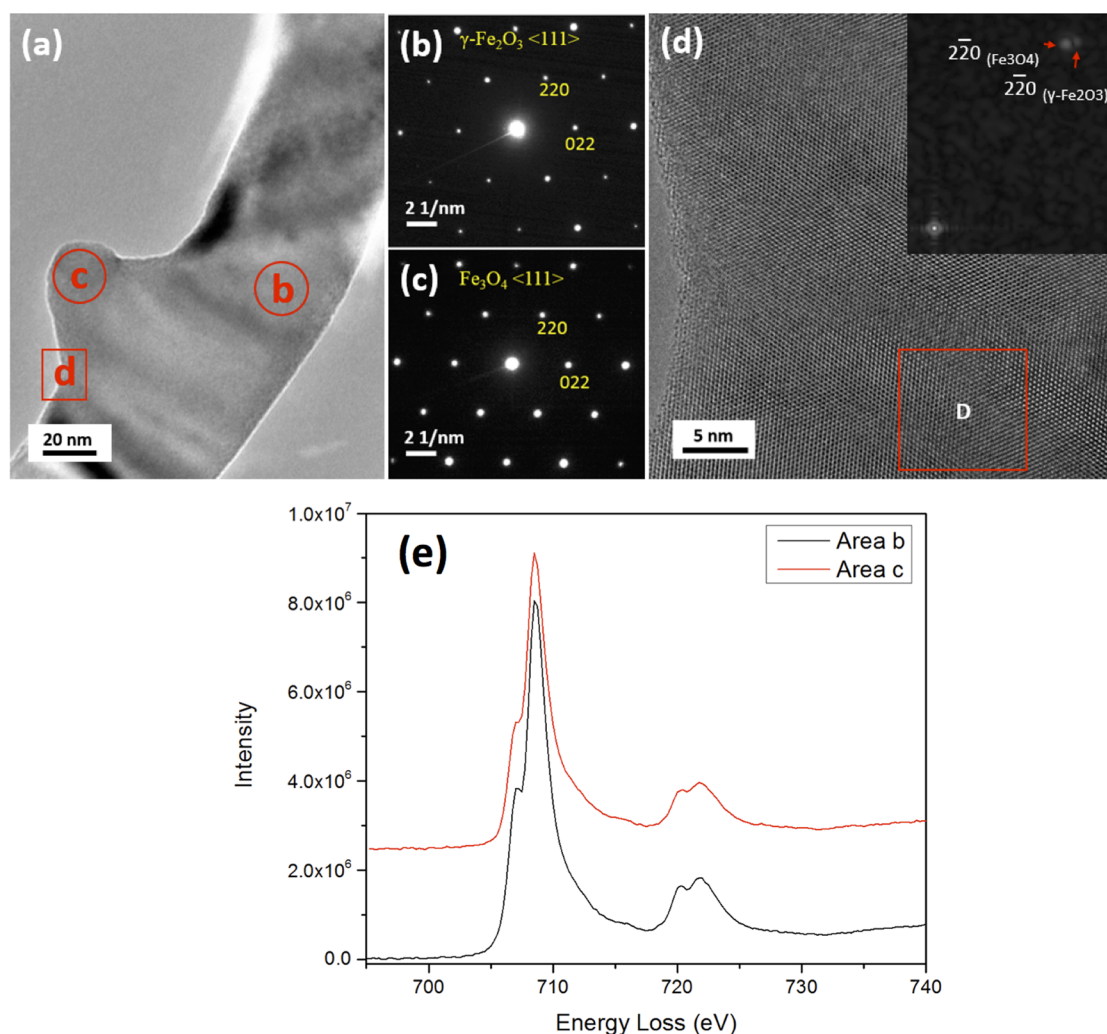
$\text{Fe}_2\text{O}_3$ , oriented along the [0001] zone axis, from the electron nanodiffraction pattern (Figure 4b). While defects are not immediately obvious upon the visual inspection of the HRTEM image of this nanowire ((Figure 4d), the corresponding diffractogram (upper-right inset of Figure 4b) shows the presence of superlattice spots, consistent with the diffraction pattern shown in Figure 4b. The result is different from the diffractogram obtained from the unreduced nanowires, where no superlattice reflections are present (inset of Figure 3b). In both the electron nanodiffraction and the diffractogram images, the fundamental spots are consistent with  $\alpha$ - $\text{Fe}_2\text{O}_3$  [0001], indicating no change in the parent crystal structure.

The  $d$ -spacing between the adjacent spots in the superlattice direction is 1.45 nm, which is 10 times the  $d$ -spacing of the  $(30\bar{3}0)$  planes. The extra spots are parallel to the  $(30\bar{3}0)$  planes. The presence of superlattice reflections in the diffraction pattern and the diffractogram provide evidence that the reduction of the oxide is initiated by the formation of oxygen vacancies that self-order by condensing onto every 10th  $(30\bar{3}0)$  plane while the nanowires still maintain the crystalline structure of  $\alpha$ - $\text{Fe}_2\text{O}_3$ . Based on this information, we built a superstructure

model using  $10 \times 10 \times 1$  unit cell of the original  $\alpha$ - $\text{Fe}_2\text{O}_3$  with oxygen vacancies marked by red or yellow circles in Figure 4c. Structure models with different extents of reduction, i.e., different numbers of oxygen vacancies in the  $10 \times 10 \times 1$  supercell, were generated to simulate electron diffraction pattern and high-resolution images. The simulated HRTEM image (inset marked by red square in Figure 4d) with one layer of oxygen vacancies in the supercell matched well with the experimental one. Moreover, we found that the simulated images and diffraction patterns are dependent on the fraction of ordered vacancies in the superstructure model (extent of reduction). The best match is based on the model with 1/6 of every 10th  $(30\bar{3}0)$  plane's oxygen lattice site being occupied by a vacancy. That is, one of the six layers of oxygen in the supercell is occupied with the ordered vacancies, as shown in the view of the model from the "a" (lattice constant a) direction in Figure 4c. The observed partially reduced oxide nanowires, as shown in Figure 4, indicate that the oxide reduction is a multipathway process, most likely starting from the surface region, where the oxygen atoms are labile. Since reduction is a dynamic and continuous process, it is possible that the observed vacancy-ordering is just one of a series of ordering. We only observed the ordering of oxygen-vacancies on every 10th  $(30\bar{3}0)$  planes, which may be a relatively stable state and can be detected.

Vacancy migration is driven by the concentration gradient of oxygen vacancies. The concentration near the surface plane  $\bar{3}300$  is higher than the core area of the nanowires because the oxygen atoms at the surface react first with hydrogen during reduction in  $\text{H}_2$ . We believe that the vacancy ordering is strain-induced because the strain could affect the formation energy of the oxygen vacancies.<sup>27</sup> We observed that most of the nanowires after reduction are bent due to the existence of strain. The strain affects the formation energy of oxygen vacancies. The formation of modulated structures induced by the long-range ordering of oxygen vacancies has also been observed in  $\alpha$ - $\text{Fe}_2\text{O}_3$  nanowires produced by plasma oxidation of Fe<sup>28,29</sup> or electrical heating of Fe under ambient conditions<sup>30</sup> or treated in a reducing environment.<sup>31</sup> It was proposed that the existence of shear stress along the  $30\bar{3}0$  planes may be the driving force for the ordering of oxygen vacancies on the  $30\bar{3}0$  planes.<sup>32</sup> In addition, since the surface plane is  $(\bar{3}300)$ , which is equivalent to  $(30\bar{3}0)$ . They are in accordance because the vacancies initiated on  $\bar{3}300$ . As shown later, the superlattice structure induced by the long-range ordering of oxygen vacancies in the  $\alpha$ - $\text{Fe}_2\text{O}_3$  nanowires is a metastable phase, which will be further reduced as the  $\text{H}_2$  reduction continues.

In the TEM image (Figure 5a) of reduced nanowires with the sawtooth morphology (Figure 2b), a bulge is visible on the parent nanowire. Neither the electron diffraction pattern of the parent nanowire (area marked by circle b in Figure 5a) nor of the bulge area (circled area c in Figure 5a), as shown in Figure 5b,c, respectively, could be indexed as  $\alpha$ - $\text{Fe}_2\text{O}_3$ . However, both patterns can be indexed using either  $\gamma$ - $\text{Fe}_2\text{O}_3$  or  $\text{Fe}_3\text{O}_4$  structures. Note that no superlattice is visible, meaning the ratio of Fe to O is no longer 2:3, i.e., some  $\text{Fe}^{3+}$  must have transformed to  $\text{Fe}^{2+}$ . The HRTEM image in Figure 5d is obtained from the interface area (marked by the square D in Figure 5a) between the parent nanowire and the bulge. It is clear that the lattice planes run continuously from the nanowire region to the bulge, i.e., there is no clear/sharp interface area between the bulge and the parent nanowire, which is in line with a previous report showing the formation of continuous



**Figure 5.** (a) TEM image of a reduced oxide nanowire with a bulge after further reduction. (b) Nanodiffraction pattern from the reduced nanowire (marked by the red circle 'b' in panel a). (c) Nanodiffraction pattern from the bulge region (marked by the red circle 'c' in panel a). (d) the HRTEM image recorded from the area marked by square d in panel a. The diffractogram shows two patterns that originate from the reduced nanowire and the bulge, respectively. (e) EELS from the reduced nanowire and the bulge regions, respectively. The spectra have been deconvoluted and aligned using the  $L_3$  edge for comparison.

**Table 1.**  $L_3/L_2$  Ratio of the Reduced Products of  $\alpha$ - $\text{Fe}_2\text{O}_3$  Nanowires and the Standard Commercial Nanoparticles of Different Iron Oxides

iron oxides	$\alpha$ - $\text{Fe}_2\text{O}_3$	$\gamma$ - $\text{Fe}_2\text{O}_3$	$\text{Fe}_3\text{O}_4$	FeO	reduced nanowire (area b in Figure 5a)	reduced nanowire bulge (area c in Figure 5a)
$L_3/L_2$ ratio of Fe L edge	$5.23 \pm 0.01$	$5.11 \pm 0.01$	$4.61 \pm 0.01$	$4.48 \pm 0.01$	$5.20 \pm 0.01$	$4.63 \pm 0.01$
oxidation state	3	3	2.67	2	3	2.67

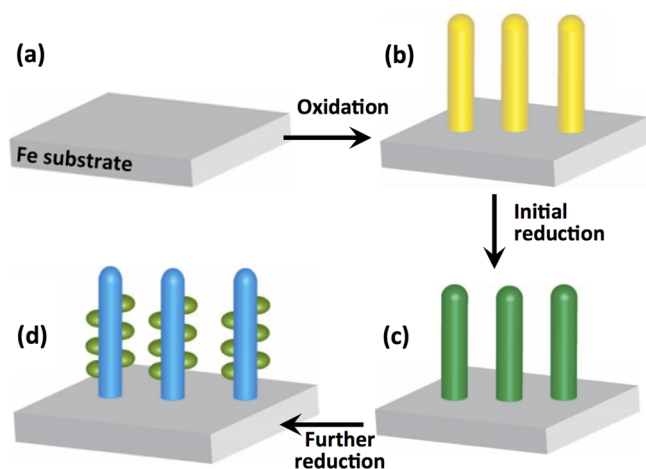
lattice planes across the  $\gamma$ - $\text{Fe}_2\text{O}_3/\text{Fe}_3\text{O}_4$  interface.<sup>33,34</sup> However, the FFT pattern (inset in Figure 5d) from the area marked with square box D in Figure 5d on which the bulge has developed, shows two sets of diffraction patterns, which are the  $\langle 111 \rangle$  of  $\gamma$ - $\text{Fe}_2\text{O}_3$  and  $\text{Fe}_3\text{O}_4$ .

It is challenging to distinguish  $\gamma$ - $\text{Fe}_2\text{O}_3$  and  $\text{Fe}_3\text{O}_4$  structures by electron nanodiffraction because they have lattice constants within  $\sim 0.5\%$  as mentioned before (Figure 1b,c). However, for 3d transition metals, the 3d states are partially filled, which allow the 2p core electrons to be excited into the unoccupied 3d-orbital represented by  $L_2$  and  $L_3$  lines (white lines) in EELS.<sup>35,36</sup> The intensity ratio of these white lines is therefore dependent upon the oxidation state of the metal. Since the Fe

in the two phases have different oxidation states, the two phases can be distinguished by measuring the  $L_3/L_2$  ratio in the EELS data collected from the parent and bulge regions of nanowires.

To do this, we first we prepared calibration standards using commercially available nanoparticle samples of different iron oxides, including  $\alpha$ - $\text{Fe}_2\text{O}_3$ ,  $\gamma$ - $\text{Fe}_2\text{O}_3$ ,  $\text{Fe}_3\text{O}_4$ , and FeO. We analyzed them by STEM-EELS under the same conditions to compare the  $L_3/L_2$  ratio. EELS spectra (Figure 5e) were acquired under identical conditions from the parent nanowire (marked with b in Figure 5a) and the bulge area (marked with c in Figure 5a). All the spectra were background-subtracted using power-law curve fitting, and were deconvoluted to minimize the size or thickness effects.<sup>37,38</sup> The  $L_3/L_2$  ratios were calculated

by using two arctangent functions to remove the postedge backgrounds and to produce the isolated Fe  $L_3$  and  $L_2$  edges:<sup>39</sup> the results are listed in Table 1. The uncertainty is given by the standard deviation of multiple measurements. By comparing with the  $L_3/L_2$  ratios of the standard samples of the iron oxides, we find that the values for the  $L_3/L_2$  ratios from the reduced parent nanowire and the bulged region are close to those for  $\text{Fe}_2\text{O}_3$  and  $\text{Fe}_3\text{O}_4$ , respectively.<sup>33</sup> Therefore, we conclude that the phase in Figure 5b is  $\gamma\text{-Fe}_2\text{O}_3$   $\langle 111 \rangle$  and in Figure 5c is  $\text{Fe}_3\text{O}_4$   $\langle 111 \rangle$ . Both  $\gamma\text{-Fe}_2\text{O}_3$  and  $\text{Fe}_3\text{O}_4$  are thermodynamically metastable phases. The occurrence of  $\gamma\text{-Fe}_2\text{O}_3$  as a transition phase to  $\text{Fe}_3\text{O}_4$  is in accordance with kinetic considerations, i.e., the lower kinetic barrier for the transformation from  $\alpha\text{-Fe}_2\text{O}_3$  to  $\gamma\text{-Fe}_2\text{O}_3$  than from  $\alpha\text{-Fe}_2\text{O}_3$  to  $\text{Fe}_3\text{O}_4$  allows this phase transition to take place. This has also been observed during the oxidation of Fe particles.<sup>40,41</sup> Figure 6 illustrates schemati-



**Figure 6.** Illustration of the combined oxidation–reduction reactions that lead to the formation of the hybrid structure of iron oxides, (a) Fe substrate, (b)  $\alpha\text{-Fe}_2\text{O}_3$  nanowires grown on the Fe substrate, (c)  $\alpha\text{-Fe}_2\text{O}_3$  nanowires with the superlattice of oxygen vacancies, (d)  $\gamma\text{-Fe}_2\text{O}_3$  nanowire with  $\text{Fe}_3\text{O}_4$  bulges. There is slight volume shrinkage of the nanowires when transformed to  $\gamma\text{-Fe}_2\text{O}_3$  nanowire with  $\text{Fe}_3\text{O}_4$  bulges.

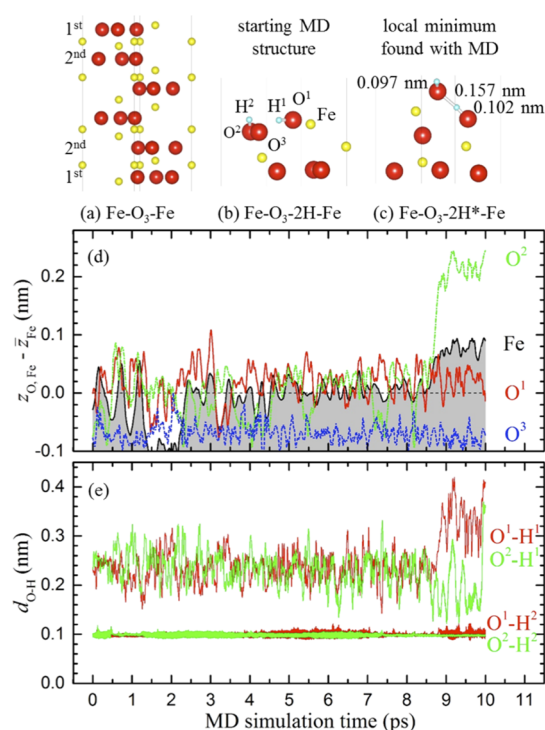
cally the pathway of the crystallographic transformation based on our experimental observations of the reduction of  $\alpha\text{-Fe}_2\text{O}_3$  nanowires. The reduction of the  $\alpha\text{-Fe}_2\text{O}_3$  nanowires, formed by oxidation of the Fe foil, as reported extensively in our previous work,<sup>19,32,42,43</sup> starts by the formation of random oxygen vacancies due to the removal of lattice oxygen by  $\text{H}_2$ . During continued reduction, oxygen vacancies order via vacancy coalescence on every 10th (30 $\bar{3}$ 0) plane that results in a partially reduced  $\alpha\text{-Fe}_2\text{O}_3$  nanowire with no morphological change. As the reduction continues, and more oxygen vacancies form; the  $\alpha\text{-Fe}_2\text{O}_3$  structure becomes unstable and transforms to the  $\gamma\text{-Fe}_2\text{O}_3/\text{Fe}_3\text{O}_4$  hybrid structure. The loss of oxygen-vacancy ordering, which is evident by the disappearance of the extra spots in the diffraction pattern, is accompanied by the partial change of oxidation state of Fe, forming  $\text{Fe}_3\text{O}_4$  as a bulge having a topotactic orientation relationship with the  $\gamma\text{-Fe}_2\text{O}_3$  nanowire. The theoretical densities for  $\alpha\text{-Fe}_2\text{O}_3$  and  $\gamma\text{-Fe}_2\text{O}_3$  are  $5.27 \text{ g}\cdot\text{cm}^{-3}$  and  $5.47 \text{ g}\cdot\text{cm}^{-3}$ , respectively. For the transformation of the parent nanowires from  $\alpha\text{-Fe}_2\text{O}_3$  to  $\gamma\text{-Fe}_2\text{O}_3$ , there is a volume shrinkage by  $\approx 3\%$ . As shown from our TEM observations, the shape of the nanowire remains relatively unchanged, suggesting there is little diffusion involved or

needed for the phase transition. Moreover, there is only a small change in composition, still very close to an allotropic transformation. The long-range ordering of oxygen vacancies disappears after enabling the allotropic transformation in the nanowires.

Although the  $\gamma\text{-Fe}_2\text{O}_3$  and the  $\text{Fe}_3\text{O}_4$  structures are very similar, their densities are different. The unit cell volume of  $\gamma\text{-Fe}_2\text{O}_3$  is  $0.5816 \text{ (nm)}^3$  and there are 12 formula units in the unit cell, i.e., the theoretical density is  $5.47 \text{ g}\cdot\text{cm}^{-3}$ ; while for  $\text{Fe}_3\text{O}_4$ , the unit cell volume is  $0.5915 \text{ (nm)}^3$  with eight formula units in it, which gives a theoretical density of  $5.10 \text{ g}\cdot\text{cm}^{-3}$ .<sup>44</sup> Therefore, the transformation from  $\gamma\text{-Fe}_2\text{O}_3$  to  $\text{Fe}_3\text{O}_4$  is accompanied by a volume expansion of  $\sim 7\%$ <sup>9</sup> and results in the formation of bulges on the parent nanowires.

It has been shown that hydroxyls (OH) can form on the hematite surface upon exposure to hydrogen at room temperature, which recombine to  $\text{H}_2\text{O}$  and then desorb upon heating to  $\sim 275 \text{ K}$ .<sup>45</sup> Because of the significantly higher temperature ( $\sim 800 \text{ K}$ ) employed in our reduction experiments, it is reasonable to expect that hydroxyls exist only as an intermediate and quickly desorb from the surface as  $\text{H}_2\text{O}$  molecules, which results in the reduction of  $\alpha\text{-Fe}_2\text{O}_3$ . While full ab initio modeling of the reduction process is challenging, select steps can be checked qualitatively against previously published and present DFT results. A number of DFT studies have been dedicated to examination of hematite's bulk and surface properties.<sup>20,25,26,46–48</sup> Souvi et al. reported a detailed analysis of the  $\alpha\text{-Fe}_2\text{O}_3$  adsorption thermodynamics in the presence of  $\text{O}_2$ ,  $\text{H}_2\text{O}$ , and  $\text{H}_2$  gases.<sup>26</sup> Based on these findings, our samples exposed to  $\text{O}_2$  under all considered ( $P$ ,  $T$ ) conditions most likely had purely Fe-terminated surfaces ( $\text{Fe}-\text{O}_3\text{-Fe}$  in Figure 7a). According to the calculated phase stability diagrams for hydrogenated surfaces,<sup>26</sup> one should expect different partial H coverages in the relevant ( $P$ ,  $T$ ) ranges. At 270 Pa and 900 K, the most stable configuration was found to be  $\text{Fe}-\text{O}_3\text{-H-Fe}$ , in which one out of the three topmost O atoms forms an OH group. At lower temperatures, a more H-rich  $\text{Fe}-\text{O}_3\text{-2H-Fe}$  configuration with a slightly reconstructed surface was determined to be thermodynamically stable, in which the formation of the second OH group pushed the O atom above the topmost Fe atom (see Figure 7b).

We performed several MD runs to obtain additional information on the behavior of the system in the  $\text{H}_2$ -rich environment at elevated temperatures. In order to accelerate the exploration of relevant nearby configurations in our longest simulation we set the temperature to the highest 800 K value used in the experiment. Starting with the previously reported stable  $\text{Fe}-\text{O}_3\text{-2H-Fe}$  structure,<sup>26</sup> we evolved the system for 10 ps (10 000 steps) and monitored relative positions of the atoms at one of the surfaces. Figure 7d demonstrates that among the three surface O atoms the two that are capped with H tend to stay above the average Fe position. This behavior can be attributed to a weaker bonding of the two H-capped O atoms with the subsurface Fe ions and to the configurational entropy factor that forces the system at high temperatures to stay predominantly in regions with abundance of nearly degenerate states. Figure 7e tracks the O–H distances and reveals several instances of the O atoms acquiring a second H neighbor. The relaxed structure extracted at 1.3 ps was found to have the second nearest O–H distance of 0.157 nm and to be a local minimum only 0.13 eV above the initial state (Figure 7c). Several structures appearing after 6.3 ps featured even shorter second O–H bond lengths as low as 0.120 nm. Despite not



**Figure 7.** (a) A symmetric Fe-terminated slab of  $\alpha$ - $\text{Fe}_2\text{O}_3$  used for DFT simulation of vacancies; the two O layers closest to the surface on both sides are labeled as 1st and 2nd. (b) The top part of a H-terminated slab structure found to be most stable in the work done by Souvi et al.<sup>26</sup> and used as a starting point in our MD runs. (c) The top part of a H-terminated slab structure identified in our MD simulation (at 1.3 ps) and relaxed with the conjugate-gradient algorithm. (d)  $z_{\text{O,Fe}} - z_{\text{Fe}}$  shown in panel b above the average  $z$  coordinate of the Fe atom during the MD simulation. (e) O–H distances between surface atoms shown in panels b and c.

being local minima, configurations observed after 8.5 ps had one OH group consistently above the top Fe atom.

Although DFT-level MD simulations for systems of such size can rarely be used for determining or quantifying all relevant transformations, our results indicate that adsorbed H atoms show no propensity for migrating into the oxide but rather form H–OH complexes on the oxide’s surface. These frequently appearing motifs at typical H reduction temperatures are still strongly bonded to the surface Fe and/or O atoms but could be viewed as water molecule precursors. Identification of all intermediate steps and transition paths determining the removal of water molecules from the surface and, consequently, creation of a surface O vacancy ( $V_{\text{O}}$ ) will require a separately dedicated study. It will be interesting to compare the desorption paths and barriers to those obtained previously for select Fe- and O-terminated surfaces.<sup>20</sup>

Our last set of DFT calculations provides information on the energetics of creating  $V_{\text{O}}$  in different bulk and surface  $\alpha$ - $\text{Fe}_2\text{O}_3$  structures. For quantifying the  $V_{\text{O}}-V_{\text{O}}$  and  $V_{\text{O}}$ –surface proximity effects, we calculated and compared  $V_{\text{O}}$  formation energies in 2 bulk and 4 slab configurations. As in our previous study of vacancies in  $\text{CuO}$ ,<sup>49</sup> analysis of  $V_{\text{O}}$  formation energy differences at the same ( $P$ ,  $T$ ) conditions does not require ( $P$ ,  $T$ )-dependent adjustment of the chemical potential for  $\text{O}_2$  calculated at 0 K, as the correction cancels out. In the largest considered  $2 \times 2 \times 1$  bulk supercell, with vacancies separated by  $\sim 1$  nm laterally and  $\sim 1.4$  nm vertically, the 0-K defect

formation energy was found to be  $4.24 \text{ eV}/V_{\text{O}}$ . A reduction by  $0.25 \text{ eV}/V_{\text{O}}$  was observed for the conventional  $1 \times 1 \times 1$  unit cell (Figure 1a), in which a single vacancy site is surrounded by 6 nearest images  $\sim 0.5$  nm away in the  $x$ – $y$  plane. The slab unit cell has the same lateral dimensions and we can isolate the influence of the surface by using the  $3.99 \text{ eV}/V_{\text{O}}$  value from the  $1 \times 1 \times 1$  bulk calculation as a reference. Removal of a single O atom in different (sub)surface O layers shown in Figure 7a resulted in lowering the  $V_{\text{O}}$  formation energy by  $0.07 \text{ eV}/V_{\text{O}}$  for the second layer and by  $0.44 \text{ eV}/V_{\text{O}}$  for the first one. It is worth noting that creation of vacancy pairs symmetrically in either two first layers or two second layers on the opposite slab surfaces resulted in virtually identical defect energies per  $V_{\text{O}}$ , which is not surprising considering that the two second layers on the opposite sides are already  $0.72$  nm apart. The findings suggest that even for relatively small separations of about  $0.5$  nm the direct  $V_{\text{O}}-V_{\text{O}}$  interaction does not exceed  $0.1 \text{ eV}/V_{\text{O}}$ . Presence of the surface has a noticeable effect on the  $V_{\text{O}}$  formation energy only in the first O layer. In dynamic equilibrium at  $800 \text{ K}$ , the  $\sim 0.4 \text{ eV}/V_{\text{O}}$  difference would cause about a 100-fold difference in the vacancy concentration in the surface and bulk layers. In the considered process, the relative vacancy concentrations also depend on the kinetics factors defining the O removal rate from the surface and the O diffusion rate from bulk to surface.

#### 4. CONCLUSION

In summary, we have shown that the reduction of metal oxide nanowires can be employed to generate hybrid oxides that combine the metal in different oxidation states. The reduction starts with the formation of an ordered lattice of oxygen vacancies, forming the partially reduced  $\alpha$ - $\text{Fe}_2\text{O}_3$  superstructure. As reduction continues, the  $\alpha$ - $\text{Fe}_2\text{O}_3$  nanowires transformed into a  $\gamma$ - $\text{Fe}_2\text{O}_3/\text{Fe}_3\text{O}_4$  hybrid structure. The resulting oxide composites consist of the transformed oxide phase ( $\gamma$ - $\text{Fe}_2\text{O}_3$ ) that remains as the skeleton and the lower oxides ( $\text{Fe}_3\text{O}_4$ ) that have the form of nanoscale bulges decorating the transformed oxide skeleton. The mechanism underlying the formation of these unique hybrid oxides is identified as an allotropic transformation of  $\alpha$ - $\text{Fe}_2\text{O}_3 \rightarrow \gamma$ - $\text{Fe}_2\text{O}_3$  via the annihilation of oxygen vacancies in the parent  $\alpha$ - $\text{Fe}_2\text{O}_3$ , which is followed by a topotactic transformation of the  $\gamma$ - $\text{Fe}_2\text{O}_3 \rightarrow \text{Fe}_3\text{O}_4$  via the nucleation of  $\text{Fe}_3\text{O}_4$  nanoparticles on the surface of the transformed  $\gamma$ - $\text{Fe}_2\text{O}_3$  nanowires. Our DFT results are consistent with the experimental ones. Compared to previous report of  $\alpha$ - $\text{Fe}_2\text{O}_3$  directly reduced to  $\text{Fe}_3\text{O}_4$ , our work provides a more in-depth understanding with substeps of reduction, i.e., the superlattice formation by oxygen vacancy ordering and the allotropic transformation happened during  $\alpha$ - $\text{Fe}_2\text{O}_3 \rightarrow \text{Fe}_3\text{O}_4$ . The whole reduction process follows:  $\alpha$ - $\text{Fe}_2\text{O}_3 \rightarrow \alpha$ - $\text{Fe}_2\text{O}_3$  superlattice  $\rightarrow \gamma$ - $\text{Fe}_2\text{O}_3 + \text{Fe}_3\text{O}_4 \rightarrow \text{Fe}_3\text{O}_4$ .

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##### Notes

The authors declare no competing financial interest.

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